SWI Governance Paper

Conference Call 22-07-2014

Participants

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(note on codes : L=Project Theme Leader ; E = Editor of section; p= participant; i = party interested in updates).

Agenda

- Overview of the project in context of SWI (AH)

- Open discussion on structure of the paper (all)

- ~~Agreement on contributions (all)~~ agreed to defer contribution assignments until we have more details of subsections / paper content (next conference call).

Background

- Rec 33, Rec 35
- Need to address interoperability (Rec 36)
- Four themes (business needs, semantics, governance, legal)

Milestones

Project Stages	Expected Completion Dates
Project Inception (continuation of this theme of Single Window Interoperability Recommendation project established prior to 2012)	Completed
Discussion Paper	
• Requirements gathering	Completed
• Draft development comprised of:	
o Initial Outline	2014-09-01
o Completed draft text for initial discussions	2014-10-27 (Forum)
o Revised draft text after initial discussions	2015-02-19 (Prep Conf)
o Revised draft text after Preparatory Conference	2015-04-20 (Forum)
• Publication	2015-04-20 (Forum)
Round table coordination	
• Requirements gathering comprised of:	
o Identifying potential speakers (Single Window Actors)	2015-02-19 (Prep Conf)
• Draft development comprised of:	
o Organization of elements for Preparatory Conference	2015-02-19 (Prep Conf)
o Monthly conference calls until Symposium	2015-09-25*
• Publication (assistance at Symposium in organization)	2015-09-25*
Proposed text for Recommendation on Single Window Interoperability	
• Requirements gathering	2015-04-20 (Forum)
• Draft development	2015-04-20 (Forum)
• Publication	2015-04-20 (Forum)
Project Exit	2015-09-25*

Theme: Governance

This sub group focuses on the mechanism required for the interconnectivity of two or more Single Window facilities. It will concentrate on the Governance Issues related to the effective implementation of Single Window Interoperability. It will aim at answering the following question:

WHAT administrative, governance, and managerial conditions need to be in place in order to support single window interoperability including the conceptual and business environment?

This sub-group will explore the managerial and administrative requirements and models both for the design and development of Single Window interoperability as well as the implementation and ongoing sustainability. It should further address technical implications of interoperability from a governance perspective.

Proposed Paper Structure

- Introduction (scope, definitions)
- Review of Existing Literature
- Governance models for SWI
  - Context of governance of interoperability (Bali TFA)
  - Design of interoperability (conceptual and business environment)
  - Development of interoperability (software, hardware, institutions)
  - Operation of interoperability (managerial aspects)
- Conclusion (recommended practice → Rec36)

Proposed Sources of Information

- Rec33, Rec35 existing guidelines
- WCO SW environment compendium (inter-agency co-ordination)
- Integrated / Coordinated border management
- PPP – governance lessons
- Case studies:
  - ASEAN
  - Korea (incl. Korea-China-Japan Maritime Platform)
  - EU (UNECE paper, EU Interoperability Framework & TRACES system, Maritime SW, ICS & NCTS, TIR)
  - African Alliance on eCommerce

Additional Notes of Call

- We agreed that the scope of SW would remain in line with Rec 36 discussions to date. The focus for recommendations will be on SW that involve regulatory functions but lessons may be drawn from SW that do not perform regulatory functions.

- We agreed that we would keep discussion of interoperability in line with the Business Needs discussion and focus on lessons and recommendations for cross border interoperability but recognise that lessons may be drawn on governance models from SW that are interoperable within national boundaries.

- As regards a general target for the scale of the paper it was agreed an initial 30 page max target would be appropriate although this may be reviewed again once the detailed outline is complete.