

UN/CEFACT Project Proposal

Project Name: Facilitating Export Control and Compliance Procedures

Date submitted: 15/12/2023

Proposed by:

TPF domain

Relevant [SDG targets](#): SDG 8, SDG 9, SDG 17

(Please list all relevant Sustainable Development Goals and targets that this project relates to)

1. Project purpose

Required

Governments worldwide adopt policy measures to regulate cross-border trade and transit in regulated and sensitive goods. Export control measures may be introduced to protect the environment, public security, combat drugs and organised crime, and international peace and security.

The evolution and constant changes of export control regimes worldwide create significant challenges for global trade. Under export control the export, import, transit, brokerage, and technical assistance may be restricted or subject to licensing/permits. The procedures for the control measures and license regimes vary.

In the recent years the complexity of export control regimes has increased adding significant costs, uncertainty, and risks to global trade. Economic operators face increased reporting requirements, documentary requirements (end user certificates), a rapidly changing list of goods subject to export controls, application of sanctions, and variance of permit procedures.

UN/CEFACT does not have specific recommendations and standards addressing the intricacies of procedures and formalities for export control. This project aims at discussing how trade facilitation principles, such as embodied in the WTO TFA and UN/CEFACT recommendations, and how they can be used to assist economic operators complying with export control requirements.

It will take stock and map the procedures and documentary requirements resulting from export control regimes, narrowing on specific area such as dual use items and sanction regimes. It will discuss facilitation measures, such as transparency, simplification of documentary requirements, used to facilitate trade, compliance and enforcement.

The project is in line with UN/CEFACT programme of work for the TPF domain

2. Project scope

Required

Development of a White Paper on Facilitating Export Control and Compliance Procedures that outlines innovative, technology-driven strategies for improving export control and compliance systems. The document will focus on conceptual frameworks and strategic recommendations aimed at aligning export procedures with the dynamic needs of global trade. This work is intended to stimulate discussion, guide policy development, and lay the groundwork for future practical implementations.

This relates to the SHIP domain of the BSP model and covers government procedures and documents.

3. Project deliverables and 4. Exit Criteria

Required (check all that apply)

Please note that the Bureau may reassess and change a deliverable after its completion at its discretion.

	Project deliverables	Exit Criteria
<input type="checkbox"/>	Policy Recommendation	Public Review logs demonstrating all comments have been satisfactorily resolved; Final document ready for publication.
<input type="checkbox"/>	Business Requirement Specification	
<input type="checkbox"/>	Technical Specification	
<input type="checkbox"/>	White Paper	Final document ready for publication.
<input type="checkbox"/>	Green Paper	
<input type="checkbox"/>	Requirement Specification Mapping	
<input type="checkbox"/>	Core Component Business Document Assembly	
<input checked="" type="checkbox"/>	Guidelines	
<input type="checkbox"/>	Executive Guide	
<input checked="" type="checkbox"/>	Brochure	
<input type="checkbox"/>	Entries/alignment to the Core Component Library	Final deliverable ready for publication.
<input type="checkbox"/>	XML Schema	
<input type="checkbox"/>	UN/EDIFACT message	
<input type="checkbox"/>	Internal UN/CEFACT Document	Final document ready for Bureau approval.
<input type="checkbox"/>	Other (specify)	

5. Impact analysis

Please indicate how these project deliverables will affect trade facilitation policies and regulations. Please highlight any anticipated / tangible results achieved. Indicate how the results and impact can be evaluated after the project is completed.

This project will contribute to streamlining export control procedures, reduce the compliance burden, and potentially boost trade, especially for developing countries. The impact can be measured by the ease of export procedures and growth in trade volumes.

6. Project Team membership and required functional expertise

Membership is open to UN/CEFACT experts with broad knowledge in the area of:

Trade Procedures, Export control,
Trade compliance

In addition, Heads of Delegations may invite technical experts from their constituency to participate in the work.

Experts are expected to contribute to the work based solely on their expertise and to comply with the UN/CEFACT Code of Conduct and Ethics and the policy on Intellectual Property Rights.

7. HoD support

Required for Technical Standards, Business Standards and UNECE Recommendations. And at the request of the UN/CEFACT Bureau. A request for HoD support will be circulated to all HoDs in these cases. If you have verbal confirmation from specific delegations of their support, please list these here. Projects that require HoD support must obtain this within 6 months of Bureau provisional approval.

8. Geographical focus

The geographical focus of the project is global

9. Beneficiaries

Highlight relevance for sustainable and digital trade facilitation in developing and transition economies, and benefits to vulnerable groups (e.g. MSMEs and women-led businesses)

- Exporting Businesses: Especially those in developing countries, who will benefit from clearer and more streamlined export control procedures.
- Importing Nations: As they will receive goods that meet standardized compliance measures, ensuring quality and legality.
- Global Trade Community: Including international trade organizations, as improved and standardized export controls facilitate smoother global trade operations.
- Regulatory Bodies and Governments: By having a standardized system, they can more effectively monitor and manage export activities.
- Financial Institutions: Banks and other financial institutions involved in international trade financing will benefit from clearer and more predictable export control frameworks.

10. Initial contributions

The following contributions are submitted as part of this proposal. It is understood that these contributions are only for consideration by the Project Team and that other participants may submit additional contributions in order to ensure that as much information as possible is obtained from those with expertise and a material interest in the project. It is also understood that the Project Team may choose to adopt one or more of these contributions “as is”.

List any initial contributions:

- UNECE Recommendations – 1, 2, 18
- WTO Agreements (TFA)
- Legal information collected from the Web
- WTO 2023 WTO | International Export Regulations and Controls: Navigating the global framework beyond WTO rules

11. Resource requirements

Participants in the project shall provide resources for their own participation. The existence and functioning of the project shall not require any additional resources from the UNECE secretariat.

Any additional request:

11. Proposed project leadership

(subject to Bureau approval)

Proposed project lead:	Aleksei Bondarenko	E-mail:	Aleksei.v.Bondarenko@gmail.com
Proposed Vice Chair:	Nurbek Maksutov	E-mail:	maksutov81@list.ru
Proposed domain	TPF domain		

11. Milestones (repeat for each deliverable, if different)

The following are draft milestones of the project.

	ODP Stage	Expected Completion Date	
Yes	Project Inception	1 month	
Yes / No	Requirements gathering	<input checked="" type="checkbox"/>	1 month
Yes	Draft development	<input type="checkbox"/>	3 months (Very quick)

		<input type="checkbox"/>	6 months (Quick)
		<input checked="" type="checkbox"/>	12 months (Normal)
		<input type="checkbox"/>	18 months (Normal)
		<input type="checkbox"/>	24 months (Long)
Yes / No	Public Draft Review	<input checked="" type="checkbox"/>	2 months
Yes	Project Exit		1 month